

H-A 5-30-

Section #3



Express Mail No. EL612597074US

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/749,286
	<b>Filing Date</b>	12/26/00
	<b>First Named Inventor</b>	Gene R. Anderson
	<b>Group Art Unit</b>	2872
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> 1613370-0009

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Declaration (executed) Return Postcard Check for \$1,658.00
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Warren S. Heit, Esq. Reg. No. 36,828
Signature	
Date	May 29, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	
Signature	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Express Mail No. EL 612597074US

Under the Patent Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>COMPLETE TRANSMITTAL</b> for FY 2001  <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		Application Number	09/749,286
		Filing Date	12/26/00
		First Named Inventor	Gene R. Anderson et al.
		Examiner Name	
		Group Art Unit	2872
		Attorney Docket No.	1613370-0009
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)	

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>	
<b>1.</b> <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 23-1703 Deposit Account Name: White & Case LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>	
<b>2.</b> <input checked="" type="checkbox"/> <b>Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
<b>FEE CALCULATION</b>			
<b>1. BASIC FILING FEE</b>			
Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid		Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid	
101 710 201 355 Utility filing fee 710		105 130 205 65 Surcharge - late filing fee or oath	
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 490 207 245 Plant filing fee		139 130 139 130 Non-English specification	
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination	
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
<b>SUBTOTAL (1) (\$)</b> 710.00		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
<b>2. EXTRA CLAIM FEES</b>		115 110 215 55 Extension for reply within first month	
Total Claims 61 -20** = 41 x 18 = 738		116 390 216 195 Extension for reply within second month 390.00	
Independent Claims 4 -3** = 1 x 80 = 80		117 890 217 445 Extension for reply within third month	
Multiple Dependent		118 1,390 218 695 Extension for reply within fourth month	
Large Entity Small Entity Fee Code Fee Code Fee Description Fee Paid		128 1,890 228 945 Extension for reply within fifth month	
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal	
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal	
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing	
109 80 209 40 ** Reissue independent claims over original patent		138 1,510 138 1,510 Petition to institute a public use proceeding	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable	
<b>SUBTOTAL (2) (\$)</b> 818.00		141 1,240 241 620 Petition to revive - unintentional	
*or number previously paid, if greater; For Reissues, see above		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
		<b>SUBTOTAL (3) (\$)</b> 390.00	

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Warren S. Heit	Registration No. (Attorney/Agent)	36,828
Signature		Telephone	(650) 213-0321
		Date	May 29, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,286	12/26/2000	Gene R. Anderson	1613370-0009

007470  
WHITE & CASE LLP  
PATENT DEPARTMENT  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

CONFIRMATION NO. 5844

## FORMALITIES LETTER



\*OC000000005790124\*

Date Mailed: 02/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$818.
  - \$738 for 41 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1658.

*A copy of this notice **MUST** be returned with the reply.*

*Mulu*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/01/2001 BNGUYEN1 09749286  
01 FC:101  
02 FC:103  
03 FC:102  
04 FC:105

710.00  
738.00  
80.00  
130.00

WHITE & CASE LLP  
PATENT DEPARTMENT

MAR 02 2001

RECEIVED

**THIS PAGE BLANK (USPTO)**